

Jim Doyle
Governor

**WISCONSIN DEPARTMENT OF
REGULATION & LICENSING**



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**ACCOUNTING EXAMINING BOARD
SCREENING PANEL**

Room 180, 1400 East Washington Avenue, Madison WI

Contact: Alfred J. Hall, Jr. (608-266-3423)

Friday, May 18, 2001

8:30 A.M.

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.
 - a. Screen complaints received from the public against credential holders. The Panel consists of 2 Board members and Department staff.
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

**ACCOUNTING EXAMINING BOARD MEETING
Room 180, 1400 East Washington Avenue, Madison WI**

Contact: Alfred J. Hall, Jr. (608-266-3423)

Friday, May 18, 2001

9:00 A.M.

1. CALL TO ORDER
 - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes (3/16/01)
4. Administrative Report
 - a. Oscar Herrera, Secretary - Introduction
 - b. Alfred Hall Jr., Bureau Director
 - i. Board Roster
 - ii. 2001 Meeting Dates
 - iii. Regulatory Digest Articles for 3/01 Publication
 - iv. 3/16/01 Meeting To-Do List
 - v. Applications Reviewed
 - vi. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules
 - vii. To Pass Folder
5. Legislative Issues
 - a. 2001 Assembly Bill 345 re: Licensure of Certified Public Accountants and Accounting Firms, Attest and Compilation Services, Peer Review of Accounting Firms, Eliminating Certificates of Authority for Public Accountants, and Granting Rule-Making Authority
 - i. Analysis of 2001 AB 345, 5/7/01, Dusso
 - ii. Board Testimony, 5/9/01, Probst
6. Administrative Rules
 - a. Public Hearing re: Proposed Rule Relating to Experience in Public Practice **9:45 a.m.**
 - b. Advisory Committee Update (4/6/01)
 - c. Rules Implementing Peer Review Requirement and Involvement of the WICPA in the Process
 - i. Peer Review Information
7. Board Member Activity
8. AICPA/NASBA

- a. Briefing Paper No. 2, March 2001, Computerizing the Uniform CPA Examination Issues, Strategies, and Policies: An Update
- 9. NASBA
 - a. Special Examination Conference, May 10, 2001, Chicago IL Report
 - b. Electing Representative to Nominating Committee, 4/6/01, Peace
 - c. 2001 Regional Meeting June 6-8, 2001, Portland OR
- 10. AICPA
 - a. Exposure Draft: Proposed Structure and Content Specifications for the Uniform CPA Examination, 2/28/01, Board of Examiners
- 11. Examination Issues
 - a. Reporting of Candidate School Codes, 5/1/01, Tichenor
- 12. Board Member Activity
- 13. Practice Issues
- 14. Presentation of Proposed Stipulations by Prosecutor or Any That May be Submitted After Printing of Agenda
- 15. Miscellaneous Correspondence/Information
 - a. Regulatory Language Concerning the Use of Certain Titles by Non-Licensed Financial Services Providers, 4/27/01, Crawford
- 16. New Business
- 17. CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; to consider licensure or discipline (s. 19.85(1)(b), Stats.; to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.
 - a. Monitoring Report, If Any
 - b. Case Closings, If Any
 - c. Class 1 Hearing, If Any
 - d. Deliberation on Stipulations, Administrative Warnings and/or Disciplinary Actions that May be Signed After Printing of Agenda
 - e. Pending Applications, If Any
 - f. Reinstatement Requests, If Any
 - g. Examination Issues – David Schroeder
- 18. RECONVENE TO OPEN SESSION
- 19. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate
- 20. Such Other Matters as Defined by Law
- 21. ADJOURNMENT

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.